

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1017 U.S. PTO
10/086104
02/26/02

In re Application of: Roskind et al.

Docket No.: AOL0022

Serial No. : Unassigned

Art Unit: Unassigned

Filed: Herewith

Examiner: Unassigned

Title: SYSTEM AND METHOD FOR DISTRIBUTED AUTHENTICATION SERVICE

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
 - () Certification under 37 CFR 1.97(e), or
 - () a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
 - () Certification under 37 CFR 1.97(e), and
 - () a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL0022). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty. Docket No. AOL0022	Serial No.: Unassigned
	Applicant: Roskind et al.	
	Filing Date: Herewith	Group: Unassigned

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,867,665	2/2/99	Butman et al.	395	200.68	3/24/97
	B	5,870,562	2/9/99	Butman et al.	395	200.68	3/24/97
	C	5,974,453	10/26/99	Anderson et al.	709	220	10/8/97
	D	6,023,724	2/8/00	Bhatia et al.	709	218	9/26/97
	E	6,026,430	2/15/00	Butman et al.	709	203	3/24/97
	F	6,108,330	8/22/00	Bhatia et al.	370	352	9/26/97
	G	6,108,703	8/22/00	Leighton et al.	709	226	5/19/99
	H	6,144,657	11/7/00	Baehr	340	352	11/6/97
	I	6,157,636	12/5/00	Voit et al.	370	353	9/16/97
	J	6,178,455	1/23/01	Schutte et al.	709	228	4/11/97
	K	6,178,511	1/23/01	Cohen et al.	713	201	4/30/98
	L	6,185,598	2/6/01	Farber et al.	709	200	2/10/98
	M	6,208,656	3/27/01	Hrastar et al.	370	401	4/11/97
	N	6,233,234	5/15/01	Curry et al.	370	356	6/3/97
	O	6,233,458	5/15/01	Haumont et al.	455	445	2/24/99
	P	6,247,523	6/19/01	Hrastar et al.	370	401	4/11/97
	Q	6,275,944	8/14/01	Kao et al.	713	202	4/30/98
	R	6,289,010	9/11/01	Voit et al.	370	352	1/27/98
	S	6,289,039	9/11/01	Garodnick	375	143	6/14/00

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	<input checked="" type="checkbox"/> T	938 217	8/25/99	EPO	H04L	29/06	X	
	<input checked="" type="checkbox"/> U	940 959	9/8/99	EPO	H04L	29/06	X	
	<input checked="" type="checkbox"/> V	1 089 516	4/4/01	EPO	H04L	29/06	X	

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	<input checked="" type="checkbox"/> W	Homberg, P., M. van Steen, and A. Tanenbaum; Unifying Internet Services Using Distributed Shared Objects; 1996; Faculty of Math and Computer Science.
	<input checked="" type="checkbox"/> X	Kim, G., H. Orman, and S. O'Malley; Implementing a Secure rlogin Environment: A Case Study of Using a Secure Network Layer Protocol; Department of Computer Science.
	<input checked="" type="checkbox"/> Y	McDaniel, P. and S. Jamin; A Scalable Key Distribution Hierarchy; 1998; University of Michigan
	<input checked="" type="checkbox"/> Z	Schuba, C. and E. Spafford; Addressing Weaknesses in the Domain Name System Protocol; COAST Laboratory.
	<input checked="" type="checkbox"/> AA	Schuba, C. and E. Spafford; Countering Abuse of Name-Based Authentication; COAST Laboratory.

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.